Continuation in Slow-Fast systems

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Multi-scale Analysis in Dynamical Systems, Winter School and PhD course DTU, 9-13 December 2013

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Oscillations with different modes ...

... "Mixed-Mode Oscillations" (MMOs)



Oscillations with different modes ...

... "Mixed-Mode Oscillations" (MMOs)

- ① What type of dynamical system to model MMOs?
- \Rightarrow slow-fast dynamical systems



- (2) What numerical tool?
- \Rightarrow numerical continuation



Outline

Numerical Continuation

Slow-fast systems and canards in \mathbb{R}^2

Slow-fast systems and canards in \mathbb{R}^3 with 2 slow variables

Computation of 2D slow manifolds and canards in \mathbb{R}^3

Detecting and "continuing" canards

Conclusion

Numerical Continuation

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Numerical continuation : idea

• Goal is to compute families (branches) of solutions of nonlinear equations of the form:

$$\mathbf{F}(\mathbf{x}) = \mathbf{0}, \ \mathbf{F}: \mathbb{R}^{n+1} \to \mathbb{R}^n$$

- under-determined system (one more unknowns than equations)
- away from singularities, solution set = 1-dim. manifold embedded in (n+1)-dim. space



Numerical continuation : idea

• Many problems can be put in this form

$$\mathbf{F}(\mathbf{x}) = \mathbf{0}, \; \mathbf{F}: \mathbb{R}^{n+1} \to \mathbb{R}^n$$

• In particular, discretisation of parameterised ODEs:

$$\dot{x} = \mathbf{F}(x, \lambda)$$

- stationary problems (search for equilibria)
- Boundary Value Problems (BVP), including periodic orbits



This will rely on the application of the Implicit Function Theorem !

Parameter continuation

• Suppose we have one solution to the problem and wish to vary one component to find a new solution ...

$$\mathbf{F}(\mathbf{x}_0) = 0, \ \mathbf{x}_0 = (x_0, \lambda_0) \in \mathbb{R}^n \times \mathbb{R}$$

- In the I.F.T. holds at this point, then locally there is a branch of solutions parameterised by λ : $(x(\lambda), \lambda)$.
- \bullet Small change in the parameter $\lambda \Rightarrow$ new point that is \boldsymbol{not} a

solution of the problem but close to one!

$$\begin{aligned} \mathbf{x}_1^{\#} &= (x_0, \lambda_0 + \delta s), \\ \mathbf{F}(\mathbf{x}_1^{\#}) &\neq 0, \\ \mathbf{F}(\mathbf{x}_1^{\#}) &\ll 1 \end{aligned}$$

Parameter continuation

- SO initial guess for the new solution is $\ \ {
 m x}_1^{\#}=(x_0,\lambda_0+\delta s)$
- New solution computed to a desired accuracy by using Newton's method on the augmented problem

$$F(\mathbf{x}) = 0,$$

$$\lambda - (\lambda_0 + \delta \lambda) = 0$$

• Note: additional equation is to ensure unique solution for Newton's method



Tangent continuation

- Improvement of the method: use higher order initial guess x_1^\ast such as the tangent to the curve
- New solution computed with Newton's method on the same augmented problem

$$\begin{aligned} \mathbf{F}(\mathbf{x}) &= 0, \\ \lambda - (\lambda_0 + \delta \lambda) &= 0 \end{aligned}$$



Problem at a fold !!



Keller's pseudo-arclength continuation

- **Problem at a fold:** the "parameter" chosen to do the continuation cannot parameterise the solution curve
- Solution: parameterise by something that do not have this problem

Arclength s along the curve !

• The problem to be solved becomes

$$\mathbf{F}(\mathbf{x}) = 0,$$

$$(\lambda - \lambda_0)\dot{\lambda}_0 + (x - x_0)\dot{x}_0 - \delta s = 0$$

Arclength measured along the tangent space !



Keller's pseudo-arclength continuation



Periodic orbit continuation

- We look for periodic solutions of the problem : $\dot{x} = \mathrm{F}(x(t),\lambda)$
- Fix the interval of periodicity by the transformation t \rightarrow t/T such that : $x' = TF(x(t), \lambda)$
 - We seek for solutions of period 1 i.e. such that :

$$x(1) = x(0)$$

- The true period T is now an additional parameter
- Note: the above equations do not uniquely specify x and T translation invariance !
- Necessity of a Phase condition
- Example: Poincaré orthogonality condition

$$(x_k(0) - x_{k-1}(0))^* x'_{k-1}(0)) = 0$$

• In practice: Integral phase condition

$$\int_{0}^{1} x_{k}(t)^{*} x_{k-1}' dt = 0$$

Periodic orbit continuation

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• In practice: Integral phase condition

$$\int_{0}^{1} x_{k}(t)^{*} x_{k-1}' dt = 0$$

Periodic orbit continuation

 ... we then solve a large G(X) = 0 augmented by the arclength-continuation equation:

$$\int_{0}^{1} (x_{k}(t) - x_{k-1}(t))^{*} \dot{x}_{k-1} dt + (T_{k} - T_{k-1}) \dot{T}_{k-1} + (\lambda_{k} - \lambda_{k-1}) \dot{\lambda}_{k-1} - \delta s = 0$$

- Discretisation of the periodic orbits: using orthogonal collocation (piecewise polynomials on mesh intervals)
 - Solve exactly at mesh points (boundaries of mesh intervals)
 - Inside mesh intervals: well-chosen collocation points (good convergence properties)
- Well-posedness: n+1 unknowns (n components of x and period T) for n+1 conditions (periodicity + phase)
 - varying | parameter will give a |-parameter family of per. orbits

Better handling of the error



'Boundary-Value Problem (BVP)' vs. 'Initial-Value Problem (IVP)' : control at both ends, error 'spread' along the orbit instead of being concentrated at one end (shooting)

Continuation of Boundary-Value Problems (BVPs)

- Solve G(X) = 0 augmented by the pseudo-arclength equation
- Difference: more generales boundary conditions B(F(a),F(b))=0, and integral conditions I(F(a),F(b))=0
- Same discretisation of the orbit segment i.e. by collocation
- Problem is well posed:

Bound. cond. + # int. cond. - dim. + I = # free parameters

• Application: numerical computation of piece of 2D invariant manifolds ...

• a surface



 see as a one-parameter family of orbit segments





 <u>each orbit segment</u>: solution to a boundary-value problem of the form

ù	=	$T\mathbf{g}(\mathbf{u}, \lambda)$	$\mathbf{g}:\mathbb{R}^n\times\mathbb{R}^p\to\mathbb{R}^n$
$\mathbf{u}(0)$	\in	L	$T \in \mathbb{R}, \ \lambda \in \mathbb{R}^p$
u (1)	\in	Σ	L, Σ : submanifolds of \mathbb{R}^n



 <u>each orbit segment</u>: solution to a boundary-value problem of the form

	= 6	$Tg(\mathbf{u}, \lambda)$ L Σ	$\mathbf{g}: \mathbb{R}^n \times \mathbb{R}^p \to \mathbb{R}^n$ $T \in \mathbb{R}, \ \lambda \in \mathbb{R}^p$ $L \ \Sigma = 1 \qquad \text{if the } f \ \mathbb{R}^p$
u (1)	\in	Σ	L, Σ : submanifolds of \mathbb{R}^n

Method

- each orbit segment computed by collocation
- family of BVPs solved by numerical continuation
- this allows to compute a piece of interest of the manifold S
- $S \cap \Sigma$ $\mathbf{u}(1)$ Σ $\mathbf{u}(0)$ L S
- note that the end point u(1) traces out the intersection curve

 $S\cap \Sigma$

Continuation vs. shooting

strong convergence or divergence of trajectories towards one another

 \implies problem initial mesh

 \Rightarrow non-uniform covering of the manifold of interest

numerical continuation well suited to slow-fast dynamical systems

 \Rightarrow extreme sensitivity to initial conditions

 \Longrightarrow fast exponential instability of non-attracting slow manifolds

 \implies shooting methods can fail!

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Van der Pol with constant forcing a

- 2nd order ODE: $x_{tt} + \alpha(x^2 1)x_t + x = a$
- Recast as a set of 1st order ODEs:

$$\varepsilon x_t = \left(y - \frac{x^3}{3} + x\right)$$
$$y_t = a - x$$

avec
$$0 < \varepsilon = \frac{1}{\alpha} \ll 1$$
.

• Dynamics as a is varied:



Benoît, Callot, Diener & Diener (1981)



How to interpret this figure?

- use time scales
- consider the singular limit $\boldsymbol{\varepsilon} = \boldsymbol{0}$

Bifurcations when varying a



- Hopf bifurcation at a = 1
- the branch initially behaves as expected in \sqrt{a} but \ldots

... at a distance of order $O(\varepsilon)$ from the Hopf point, the branch increases dramatically and becomes quasi-vertical!

Time scale analysis: $\varepsilon > 0 \Rightarrow \varepsilon = 0$

$$x_t \sim O(1/\varepsilon) \implies x$$
 is fast $\parallel y_t \sim O(1) \implies y$ is slow

• Limiting problem for the **slow** dynamics:

$$\varepsilon > \mathbf{0}$$

 $\varepsilon x_t = (y - \frac{1}{3}x^3 + x)$
 $y_t = a - x$

 $\varepsilon = \mathbf{0}$: reduced system $0 = (y - \frac{1}{3}x^3 + x)$ $y_t = a - x$

• Limiting problem for the fast dynamics:

$$\left(\tau = t/\varepsilon\right)$$

 $\varepsilon > \mathbf{0}$ $x_{\tau} = (y - \frac{1}{3}x^3 + x)$ $y_{\tau} = \varepsilon(a - x)$

$$arepsilon = \mathbf{0}$$
 : Layer system $x_{ au} = (y - rac{1}{3}x^3 + x)$ $y_{ au} = 0$

Time scale analysis: $\varepsilon > 0 \Rightarrow \varepsilon = 0$

$$x_t \sim O(1/\varepsilon) \implies x$$
 is fast $|| y_t \sim O(1) \implies y$ is slow

• Limiting problem for the **slow** dynamics:

$$\varepsilon = \mathbf{0}$$
: reduced system
 $0 = (y - \frac{1}{3}x^3 + x)$
 $y_t = a - x$

slow system: ODE defined on $S := \{y = \frac{1}{3}x^3 - x\}$

• Limiting problem for the fast dynamics:

$$arepsilon = \mathbf{0}$$
 : layer system $x_{ au} = (y - rac{1}{3}x^3 + x)$ $y_{ au} = 0$

fast system: family of ODEs parametrised by y S = set of equilibria

Time scale analysis: $\varepsilon = 0 \Rightarrow \varepsilon > 0$



- outside a neighbourhood of the cubic *S*, the **fast** dynamics dominates
- in an ε -neighbourhood of S, the **slow** dynamics dominates
- transition: bifurcation points of **fast** dynamics

Note S has 2 fold points \Rightarrow stability is different on each side:

 S^a is attracting and S^r is repelling

Back to Benoît et al.



Back to Benoît et al.



- The Van der Pol system possesses unexpected limit cycles which
 - follow the attracting part S^a of the cubic S ...
 - down to the fold, and then ...
 - follow the repelling part S^r of S!
- these cycles have been named **canards** by the French mathematicians who discovered them.

Back to Benoît et al.



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a · · 0,998 740 451 3



Invariant manifolds: $\varepsilon = 0 \Rightarrow \varepsilon > 0$

Fenichel Theory away from fold points, the attracting and repelling sheets S^a and S^r of S persist for $\varepsilon > 0$ (suff. small) as (locally) invariant manifolds S^a_{ε} and S^r_{ε} called slow manifolds.

Transition if a is decreased from the Hopf bifurcation point, $\Rightarrow S_{\varepsilon}^{a}$ et S_{ε}^{r} exchange their relative positions.



The canard point: when $S_{\varepsilon}^{r} = S_{\varepsilon}^{a}$



- the slow manifolds S^a_{ε} and S^r_{ε} pass through each other for $a = a_c(\varepsilon)$ called the point de canard
- the value $a_c(\varepsilon)$ can be obtained using an asympt. expansion in ε from the equation $S^a_{\varepsilon} = S^r_{\varepsilon}$, which defines the maximal canard
The canard point: when $S_{\varepsilon}^{r} = S_{\varepsilon}^{a}$



• the maximal canard follows S_r for the longest time, i.e., until the left fold point.

The canard point: when $S_{\varepsilon}^{r} = S_{\varepsilon}^{a}$



- the maximal canard:
 - transition between small-amplitude headless canards and large-amplitude canards with head
 - located in the upper part of the quasi-vertical segment of the branch of limit cycles
- this transition:
 - \circ interval of *a*-values which is exponentially small en ε
 - extremely brutal (yet continuous!) event termed canard explosion by Brøns [*Math. Eng. Ind.* 2: 51–63, 1988]

Easy to find canards numerically?



Easy to find canards numerically?



by direct simulations: **Delicate** ...

- ① see the values of a on the left!
- ⇒ such a system is extremely sensitive to initial conditions and to parameter variations ...
- 2 and here ε is "only" 0.05 ...!

Already remarkable that this canard explosion could be computed by Benoît et al. at the end of the 1970s!!

Problems when simulating slow-fast systems

- extreme sensitivity to initial conditions \Rightarrow if ϵ is too small, one needs time steps getting closer to machine-precision
- direct simulation by shooting can be less reliable if the vector field has a **strong repulsion** in the normal direction
- lack of precision of initial value solvers can lead to spurious solution (fake chaos!)

One solution: numerical continuation of periodic orbits

- continuation: predictor-corrector method (Implicit Function Theorem)
- collocation: better handling of the error and good convergence properties
- integration time T becomes an unknown

Canard explosion computed by continuation



 $\varepsilon = 0.005$

Example: the FitzHugh-Nagumo system

$$v_t = v - v^3/3 - w + I$$
$$w_t = \varepsilon(v + a + bw)$$

Valeurs des Paramètres $\varepsilon = 0.01$ a = 0.7 b = -0.8*I* : bifurcation parameter

Canard explosion \rightarrow action potential

Summary for the 2D case: canard explosion

- interesting phenomenon, brutal but **non**-discontinuous!
- first discovered by direct simulation \sim 30 years ago
- brutal because: exp. small (in ε) parameter variation
- much more general than Van der Pol!
- transition to the maximal canard only requires a non degenerate quadratic fold in the fast nullcline $S \Rightarrow$ robust
- encountered in many applications since the early 80s:
 - chemistry (Belousov-Zhabotinskii)
 - neuroscience (FitzHugh-Nagumo)
 - mechanical systems, electronic circuits, ...

What if we add an extra slow variable ?

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Simplest way : add a constant slow drift

$$\varepsilon x_t = y - x^2$$
$$y_t = a - x$$
$$z_t = \mu$$

- x and y are **slow**
- z is fast

fast dynamics is trivial; let's focus on the slow one!

 $\varepsilon = 0$: reduced system $0 = y - x^2$ $y_t = a - x$ $z_t = \mu$

slow system curve \rightsquigarrow surface $S := \{y = x^2\}$ called critical manifold

S: parabola in $\mathbb{R}^2 \implies$ parabolic cylinder in \mathbb{R}^3 fold F: isolated points in $\mathbb{R}^2 \implies$ curve F in \mathbb{R}^3

Slow flow

- In order to understand the flow on $S := \{y x^2 = 0\}$:
 - diff. w.r.t time of $y x^2 = 0$ gives $y_t - 2xx_t = 0$

• projection onto the (x, z)-plane

$$2xx_t = a - x$$
$$z_t = \mu$$

 \triangle

Problem along the fold curve $F: x = 0 \Rightarrow$ system is singular

• desingularisation (time rescaling) gives

$$x_t = a - x$$
$$z_t = 2x\mu$$

• defines the slow flow

Flow of the desingularised reduced system



Remarque: Equilibrium point on the fold curve!! The eigenvalue ratio at this point is a function of μ

Flow of the reduced system



This point is called a **folded node**

Singular canards

- Equilibria of the desingularised reduced system are on the fold curve
- This defines folded-singularities (folded-*node*, folded-*saddle*, folded-*focus*, ...)
- time rescaling to desingularise the reduced system
 - solutions crosses the origin with $\neq 0$ speed
 - such orbits are called (singular) canards

Situation for $\varepsilon > 0$?

The perturbed case: $\varepsilon > 0$

Recall Outside F, Fenichel theory ensures the existence of attracting and repelling slow manifolds S_{ε}^{a} of dim. 2. and S_{ε}^{r}

- Along the fold curve, one cannot apply these results anymore; however, one can follow $S_{\varepsilon}^{a,r}$ by the flow.
- Their transversal intersections define maximal canards!
- Many of them can appear in this 3-dim. context!
- Differentes techniques of analysis: nonstandard analysis, matched asymptotics, parameter blow-up, ...

The perturbed case: $\varepsilon > 0$ THEORY

Benoît (2001)

when $\mu \notin \mathbb{N}$, two (primary) maximal canards (primaires) exist for every ε (only one if $\mu \in \mathbb{N}$)

Szmolyan, Wechselberger (2001)

same results proven with a different method

Wechselberger (2005)

when $\mu \in 2\mathbb{N}+1$, bifurcations of primary canards occur and they give rise to secondary canards. If $int(\mu) = 2k + 1$ then there exist k secondary canards, corresponding to 2k + 1twists of the slow manifolds around each other.

<u>Good news:</u> Canards are robust in \mathbb{R}^3 , they exist for O(1) ranges of parameters

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The perturbed case: $\varepsilon > 0$ NUMERICS

- a 2D invariant manifold can be represented by a family of orbit segments
- this family is obtained by numerical continuation of a one-parameter family of well-posed Boundary-Value Problems (B.V.P.)
- Our numerical protocol imposes that each orbit segment has
 - its initial point on a curve traced on *S* (we make use of the **normal hyperbolicity** of *S* outside the fold curve!)
 - its end point in a cross-section transerval to the flow near the fold, chosen so that the resulting surface renders a piece of interest of the slow manifold

Illustration: numerical computation of S^a_{ε}

[Desroches, Krauskopf et Osinga, SIAM J. Appl. Dyn. Sys. 7(4), 2008]

Slow manifolds and canards



Interactions on each side of the folded node

Example: the self-coupled FitzHugh-Nagumo system (see demo fnc of AUTO)

$$\begin{aligned} v_t &= h - (v^3 - v + 1)/2 - \gamma s v \\ h_t &= -\varepsilon (2h + 2.6v) \\ s_t &= \beta H(v)(1 - s) - \varepsilon \delta s \end{aligned}$$

variables	
V	membrane potential
h	inactivation of ionic channels
5	synaptic coupling
H(v)	Heaviside
parameters	
parameters γ	coupling strength
$\begin{array}{c} \textbf{parameters} \\ \gamma \\ \beta \end{array}$	coupling strength activation of the synapse
$\begin{array}{c} \rho arameters \\ \gamma \\ \beta \\ \varepsilon \delta \end{array}$	coupling strength activation of the synapse inactivation of the synapse

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The silent phase system

• 3D slow-fast system with 2 slow variables

$$\begin{split} v_t &= h - (v^3 - v + 1)/2 - \gamma s v & v < 0 : \text{fast variable} \\ h_t &= -\varepsilon (2h + 2.6v) & h \in [0,1] : \text{slow variable} \\ s_t &= -\varepsilon \delta s & s \in [0,1] : \text{slow variable} \end{split}$$

- critical manifold: $S := \{h = (v^3 v + 1)/2 + \gamma sv\}$
- **S** possesses

• a fold curve
$$F:=\{h=rac{1}{2}-v^3,s=rac{1-3v^2}{2\gamma}\}$$

- a cusp point $(v, h, s) = (0, \frac{1}{2}, \frac{1}{2\gamma})$
- the fold curve F separates S into an attracting sheet S_a from a repelling one S_r

The critical manifold S and the fold curve F



Folded node & return mechanism

- "FHN+self-coupling" possesses a folded node for certain values of param. γ and δ
 - (singular) canards in the reduced system
 - perturbation (arepsilon > 0) \Rightarrow canard solutions of the silent phase system
- the active phase offers a *return mechanism* that can generate complex periodic solutions, usually referred to as <u>mixed-mode</u> oscillations (MMOs)
- ⇒ definition: periodic solutions formed by an alternation of small-amplitude oscillations and large-amplitude oscillation; notation: ℓ^s for s small oscillations and ℓ large.
 - Théorème (Brøns et al. 2006): A slow-fast system in ℝ³ possessing 2 slow variables, a folded node and a return mechanism produces MMOs of type 1^s; the number s of small osc. is determined by the canard solutions associated with the folded node.

Computation of perturbed manifolds: starting solution?

Importante question : how did I get the initial segment ?

Computation of perturbed manifolds: starting solution?

- **Present case:** easy! This system is of "normal form" type, it possesses enough symmetry and explicit solutions
- In general: Not that easy!!

A good solution...

- Direct simulation? Delicate! Near the fold curve *F*, the competition between time scales is very strong ⇒ substantial chances to be ejected!
- Continuation of families of BVPs based on an (additional) homotopy method

Step 1: away from the folded node ...

Step 2: away from the fold curve ...

The slow manifolds



Interactions between S^a_{ε} and S^r_{ε}



MMOs rotation sectors



MMOs rotation sectors



[Desroches, Krauskopf et Osinga, CHAOS 18(1), 2008]
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Detection of canard orbits: method

- we consider the intersection curves $S^a_{\varepsilon} \cap \Sigma$ and $S^r_{\varepsilon} \cap \Sigma$ of the slow manifolds with a common end section Σ
- approximation of the coordinates of these \cap points in $\pmb{\Sigma}$

→ correspond to canard orbits!

- stop the computations at the corresponding values using test-fonctions
- this yields two "half canard segments": ξ^a on S^a_{ε} and ξ^r on S^r_{ε}
- ensuring that $|\xi^a(1) \xi^r(0)|$ is suff. small, a simple Newton iteration converges towards a "full" canard segment.

Detection of canard orbits: in section $\Sigma_{\rm fn}$



Detection of canard orbits: in \mathbb{R}^3



"Continuing" canards: initial situation



"Continuing" canards: no need for $\Sigma_{\rm fn}$!



varying one system parameter \Rightarrow one-parameter family of canard orbits

FHN example: continuation in ε for $\varepsilon \to 0$

FHN example: bifurcation diagram as a function of ε



[Desroches, Krauskopf et Osinga, Nonlinearity 23(4): 739–765, 2010]

FHN example: canard continuation in $\varepsilon \nearrow$

Solution profile when ε is increased



[Desroches, Krauskopf et Osinga, Nonlinearity 23(4): 739-765, 2010]

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Summary for the 3D case: canards/folded node

- canards like to live in \mathbb{R}^3 !
- still challenging numerically **BUT** the continuation of BVPs proves to be very efficient and reliable to
 - compute slow manifolds
 - o detect their transversal intersections: maximal canards
 - o continue canards in any system parameter
- folded node type canards have specific small oscillations
- add a return mechanism: they organise the dynamics of families of complex oscillatory solutions Mixed-Mode Oscillations (MMOs)
- the underlying theory is recent and still under development (Wechselberger, Krupa, Popovic, Guckenheimer, ...)

one could say, of course, much more ...

What I haven't talked about ...

- bifurcations of folded singularities give rise to much more complicated dynamics and much more complex MMO patterns
- ⇒ theory is still incomplete (folded saddle-node singularity, singular Hopf bifurcation, ...)

- I talked about the "1 fast 2 case" but there is (at least) one other very interesting case in 3D slow-fast systems:
 "1 slow 2 fast"
- ⇒ can give rise to bursting oscillations, possibly linked to the canard phenomenon!

Spike-adding "via canards"!

This is a Morris-Lecar type system in \mathbb{R}^3 est obtained by putting a slow dynamics onto the applied current I

Bifurcation parameter: *e*

Additional references



